

# Hierarchical Threshold Secret Image Sharing Scheme Based on Birkhoff Interpolation and Matrix Projection

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## Abstract

This paper focuses on how to protect confidential image based on hierarchical threshold secret sharing scheme, against fake shadow attacks, collusion attacks and shadow information leakage problem. Inspired by existing research, we propose a novel hierarchical threshold secret sharing scheme based on Birkhoff interpolation and matrix projection, hierarchical secret distribution mathematical processes and hierarchical threshold reconstruction mathematical processes are proposed in detail in this paper, by designing random matrix generation, polynomial multiple derivatives, and Birkhoff interpolation method in Galois field. Simulations and analysis validate the proposed scheme can tolerate fake shadow attacks and collusion attacks, and has the ability to avoid information leakage. Experiments also prove that shadow secret embedding capacity of secret cover image is bigger than the existing schemes.

**Keywords:** Hierarchical Secret Sharing, Hierarchical Access Structure, Secret Image Protection, Birkhoff Interpolation, Matrix Projection

## 1 Introduction

Secret sharing is an important method of protecting data. It's mainly used for the security storage, transmission and utilization of confidential information, trust evidence, confidential documents, and privacy information and so on. With the development of the Internet, how to protect secret information in cyber space has become a hot topic.

A. Shamir [8] and G. Blakley [1] proposed the  $\langle t, n \rangle$  threshold secret sharing scheme respectively. The scheme contain two phrases, the secret sharing phrase and the secret reconstruct phrase. In secret sharing phrase, the Dealer divided the secret data into  $n$  shadows through the rule of algorithm. Next, the Dealer shared the shadows to  $n$  participants by one-on-one. In the secret reconstruct phrase, at least  $k$  participants arbitrarily could reconstruct the secret, under the number of  $k$  participants, the participants can't get any information. These two schemes are the milestone of threshold cryptography, and are widely used in cloud storage and protection of private data.

But the participants in the traditional threshold secret sharing scheme have the same importance, the algorithm can't achieve the hierarchical authorization, and can't directly meet the requirements of the hierarchical authorization protection. For this kind of problem, the hierarchical threshold secret sharing scheme of multi-level threshold access structure is proposed, which is divided into two-layer structure secret sharing scheme [6, 11, 7, 2], and multi-level threshold sharing scheme [5, 10, 4]. The two-layer structure scheme is mainly to realize  $(t, s, k, n)$  two-level threshold access structure; The main idea of the hierarchical threshold sharing scheme is to set the threshold depend on the threshold level,

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setting the different threshold for each level of the participants, forming the hierarchical threshold scheme  $\langle k_1, N_1 \rangle, \dots, \langle k_s, N_s \rangle$ . It is widely used in distributed data storage, key management, cloud storage, privacy data, trust data, and so on.

The existing hierarchical access structure of the threshold secret sharing scheme effectively solves the hierarchical threshold of the algorithmic mathematical process. In these scheme, the common scientific problems being studied are false data attacks, collusion attacks, and shadow secret equivalence issues. Inspired by literatures [3, 10] based on the Birkhoff interpolation and projection matrix, we proposed a multi-layer threshold secret sharing scheme. Moreover, our paper are also combined with our previous experience on the verifiability problem, collusion problem threshold scheme [9]. The algorithm and the reconstruction algorithm are designed and implemented for the secret image field. The main idea is to set the  $(l + 1) - th$  threshold scheme  $TSet = \langle t_0, t_1, \dots, t_l \rangle$  on a finite field for  $n$  participants. Based on the hierarchical threshold  $\langle t_i, N_i \rangle$  form the whole  $\langle \sum_{w \in [0, l]} t_w, n \rangle$  threshold scheme. A polynomial random coefficient matrix is generated based on the projection matrix method on the finite field. Based on the hierarchical threshold setting of the participant, the shadow secret matrix is generated by the multi-order polynomial. The corresponding shadow secret data is written to the same cover image, and the distribution process is completed by the Dealer to the participants at all levels. In the process of reconstruction, the basic data is calculated based on the Birkhoff interpolation method by the Dealer, proposed algorithm reversed the operation of the shadow data Alignment to complete the final original image recovery process. The main contributions of this paper are as follows:

(1) A novel hierarchical secret sharing scheme for network space secret image protection is proposed. The projection operation based on random matrix generation, the shadow secret matrix generation by polynomial multi-order derivative, and the Birkhoff interpolation recovery operation which complete hierarchical threshold shared scheme  $\langle \sum_{w \in [0, l]} t_w, n \rangle$  is formed, and the related process is demonstrated by setting the lemma to improve the security of image secret sharing.

(2) The proposed scheme has the ability to defend the fake data attack. Furthermore, the scheme has the ability to recognize whether the secret has been attacked. What's more, it has the ability to defend the collusion attack which can be regarded as multi-layer participants trying to restore the original secret. After all, the relevant ability was demonstrated.

(3) Based on the same coefficient matrix, polynomial multi-order derivative to form the shadow secret matrix with the same size and different content, which avoids the traditional basis of the polynomial. The Birkhoff interpolation scheme uses only constant information leakage problems.

## 2 Basic Definitions

In this paper, a new hierarchical secret image sharing scheme is proposed by using the projection matrix and Birkhoff interpolation method. In the sharing process, the secret Dealer according to the participants in the collection and the secret image information, calculate the corresponding secret data and embed it in the cover image, get the shadow of the secret information image. In the secret reconstruction process, the secret data is extracted in the shadow image which provided by the participant satisfying the threshold condition, and the polynomial is reconstructed by using the Birkhoff interpolation method. From the reconstructed polynomial to the corresponding polynomial coefficient information, calculate the corresponding projection matrix, restore the open secret matrix, and finally get the original secret pixel matrix. For ease of description, the relevant definition of the convention:

(1) Dealer: Any node in a distributed network that requires distributed data for distributed sharing, as a Dealer.

(2) Participants:  $n$  nodes that participate in secret sharing in a distributed network, whose collection

is written as:  $PSet = \{p_1, \dots, p_n\}$ .

$$n = \sum_{i=0}^l N_i$$

(3) Multi-threshold: All participants are divided into  $l + 1$  layer, ie  $Level = 0, \dots, l$ , the number of participants per layer is  $N_0, \dots, N_l$ , and no two participants have no intersection. The minimum threshold for each participant is marked as a vector  $TSet = \langle t_0, t_1, \dots, t_l \rangle$ ,  $0 < t_0 < t_1 < \dots < t_l$  monotonically increasing, and the last threshold is denoted by  $k = t_l$ . The sum of all the levels of the threshold is called  $\mathbb{K} = \sum_{w \in [0, l]} t_w$ , then the hierarchical threshold scheme for each layer is  $\langle t_i, N_i \rangle$ , the overall hierarchical threshold scheme for  $\langle \mathbb{K}, n \rangle$ .

(4) Secret image: the program for data protection of the image agreement for the square matrix  $SI$ ,  $m \times m$  matrix:

$$SI = (s_{ij})_{m \times m} = \begin{bmatrix} s_{11} & \cdots & s_{1m} \\ \vdots & \ddots & \vdots \\ s_{m1} & \cdots & s_{mm} \end{bmatrix}$$

(5) Finite field: In this paper, the participant number and random matrix element belongs to the finite field  $F_{\mathbb{P}} = \mathbb{Z}/\mathbb{P}$ , where  $\mathbb{P}$  is the prime number and satisfies according to the Birkhoff interpolation:

$$\mathbb{P} > 2^{-k+2} \cdot (k-1)^{\frac{k-1}{2}} \cdot (k-1)! \cdot n^{(k-1)(k-2)/2}$$

### 3 Proposed Threshold Secret Distribution Model

The  $m \times k$  random matrix  $A$  with the rank  $k$  on the finite field  $F_{\mathbb{P}}^{(m \times k)}$  is constructed and  $\det(A^T A) \neq 0$ :

$$A = (a_{ij})_{m \times k} = \begin{bmatrix} a_{11} & \cdots & a_{1k} \\ \vdots & \ddots & \vdots \\ a_{m1} & \cdots & a_{mk} \end{bmatrix} \quad (1)$$

Where  $a_{ij}$  is a random integer,  $rank(A) = k$ , column full rank matrix.  $\det(A^T A) \neq 0$ , so  $A^T A$  is reversible, then the projection matrix of matrix  $A$  is:

$$\mathbb{S}_A = (\mathfrak{s}_{ij})_{m \times m} = A(A^T A)^{-1} A^T = \begin{bmatrix} \mathfrak{s}_{11} & \cdots & \mathfrak{s}_{1m} \\ \vdots & \ddots & \vdots \\ \mathfrak{s}_{m1} & \cdots & \mathfrak{s}_{mm} \end{bmatrix} \quad (2)$$

Each element of the image  $SI$  is a positive integer, and the elements in the projection matrix  $\mathbb{S}_A$  are generally floating point numbers. Therefore, the sum of each element and the distributor id of the image  $SI$  is changed so that each row of data is converted into a floating point number, that is:

$$s_{ij}^{Float} = \frac{S_{ij}}{Dealer.id + s_{ij}} \quad (3)$$

$$SI_{Float} = \begin{bmatrix} s_{11}^{Float} & \cdots & s_{1m}^{Float} \\ \vdots & \ddots & \vdots \\ s_{m1}^{Float} & \cdots & s_{mm}^{Float} \end{bmatrix} \quad (4)$$

By plotting the corresponding elements of the projection matrix  $\mathbb{S}_A$  and the image floating-point matrix  $SI_{Float}$  by floating-point XOR operation, the matrix  $R_{SI}$  is formed:

$$R_{SI} = (r_{ij})_{m \times m} = \begin{bmatrix} r_{11} & \cdots & r_{1m} \\ \vdots & \ddots & \vdots \\ r_{m1} & \cdots & r_{mm} \end{bmatrix} \quad (5)$$

Where  $r_{ij} = s_{ij} \oplus s_{ij}^{Float}$ ,  $\oplus$  is a floating point XOR operation. Floating-point processing of the image SI is intended to protect the attacker from detecting the original image by separating the integer part, the fractional part, or the result.

After the above steps are completed, the Dealer Dealer public secret matrix  $R_{SI}$ .

Then, Constructing the full-rank random matrix  $Q(k \times k)$  on the finite field  $F_p^{(k \times k)}$ , the column vector  $q_i = [q_{i1}, \dots, q_{ik}]^T$  linearly independent,  $rank(Q) = k$ :

$$Q = (q_{ij})_{k \times k} = \begin{bmatrix} q_{11} & \cdots & q_{1k} \\ \vdots & \ddots & \vdots \\ q_{k1} & \cdots & q_{kk} \end{bmatrix} \quad (6)$$

where,  $q_{ij}$  is a rankly interger on the finite field  $F_p^k$ . Next, the product of the matrix  $A$  and the matrix  $Q$  is calculated to obtain the  $m \times k$  coefficient matrix  $B$ :

$$B = (b_{ij})_{m \times k} = \begin{bmatrix} b_{11} & \cdots & b_{1k} \\ \vdots & \ddots & \vdots \\ b_{m1} & \cdots & b_{mk} \end{bmatrix} \quad (7)$$

where  $b_{ij} = \sum_{u=1}^m (a_{iu} \times q_{uj})$ . The  $m(k-1)$ -order polynomials  $F_i(x)$ ,  $i \in [1, m]$  are constructed by using the random matrix  $B$  as the polynomial coefficient matrix,

$$\begin{cases} F_1(x) = b_{11} + b_{12}x + \cdots + b_{1k}x^{k-1} \\ \cdots \\ F_m(x) = b_{m1} + b_{m2}x + \cdots + b_{mk}x^{k-1} \end{cases} \quad (8)$$

Let  $F_i^{(t)}(x)$  denote the  $t$ -order derivations  $(k-1)$ -order of polynomial  $F_i(x)$ . Namely,  $F_i^{(t)}(x) = \frac{d^{(t)}F_i(x)}{d^{(t)}(x)}$ . The  $t$ -order of all  $m$  polynomial groups is abbreviated as  $F^{(t)}(x) = [F_1^{(t)}(x), F_2^{(t)}(x), \dots, F_m^{(t)}(x)]$ . Then the shadow secret of the secret matrix  $\mathbb{S}_A$  is determined by the multi-order derivation of the  $(k-1)$ -order polynomial.

The corresponding derivative order for each participant is the same as the number of thresholds corresponding to the previous level of the participant  $p_i$ . For example, the first-level participant has a derivative order of 0 order and the second-level participant's order is  $t_0$ .

Table 1: Hierarchical threshold correspondence

Level	Participant	Threshold
0	$\{p_{l0_1}, \dots, p_{l0_N}\}$	$t_0$
1	$\{p_{l1_1}, \dots, p_{l1_N}\}$	$t_1$
...	...	...
l	$\{p_{ll_1}, \dots, p_{ll_N}\}$	$t_l$

For any participant  $p_i$ , we obtain the  $F^{(t_w-1)}(x)$  of the polynomial derived order of the corresponding threshold  $t_w-1$  as the participant. Participants to the unique identification information is substituted into the item  $p_i.ID \in F_p$  solved in polynomial  $t_w-1$  on the basis of the first derivative of the distribution data vector participant secret  $SS_{p_i}$ .

$y_j = F_j^{(t_w-1)}(p_i.ID)$  is the value of the  $t_w-1$  order derivative function of the polynomial  $F_j(x)$  after the first entry  $p_i.ID$ . Converts each  $y_j$  value in the  $SS_{p_i}$  into a binary representation and calculates the length of all the binary strings, whichever is the longer value, to obtain the alignment length  $AlignLen$

$$Len_{p_i} = \max_{j \in [1, m]} (y_j)_{length}^{base2} \quad (9)$$

$$AlignLen = \max_{x \in [1, m]} Len_{p_i} + \varepsilon \quad (10)$$

Where  $\varepsilon$  is a random small positive integer, all  $y_j$  binary strings are preceded by  $AlignLen$ , and the binary secret data string of participant  $p_i$  is obtained,

$$y_i^{base2} = [e_{j1}, e_{j2}, \dots, e_{j, AlignLen}] \quad (11)$$

After all the  $y_j$  in  $SS_{p_i}$  are binarized, the shadow secret distribution matrix for the participant  $p_i$  is obtained:  $(e_{ij})_{m \times AlignLen}$ .

$$EmbSS_{p_i} = \begin{bmatrix} e_{11} & \cdots & e_{1, AlignLen} \\ \vdots & \ddots & \vdots \\ e_{m1} & \cdots & e_{m, AlignLen} \end{bmatrix} \quad (12)$$

The Dealer reserves  $AlignLen$  as the alignment key for the recovery process. Finally, The cover image  $CI$  is an image of  $(U \times V)$  size, that is,

$$CI = (c_{ij})_{U \times V} = \begin{bmatrix} c_{11} & \cdots & c_{1, V} \\ \vdots & \ddots & \vdots \\ c_{U1} & \cdots & c_{UV} \end{bmatrix} \quad (13)$$

$c_{ij}$  is a binary pixel value. According to the LSB minimum bit (the lowest 2 bits), the shadow of the participant  $p_i$  is embedded in the load image. The size of the load image should be satisfied  $(U \times V > \frac{m \times AlignLen}{2})$  conditions. After the formation of the write load image  $CI_{p_i}$ . The Dealer distributes the image  $CI_{p_i}$  to the corresponding participant  $p_i$ . Follow this process to complete the generation and distribution of binary secret strings for all participants.

## 4 Proposed Secret Reconstruction Model

From the  $l + 1$  layer participants in accordance with the  $\langle t_w, N_w \rangle$  threshold program selected by  $t_w$  participants, the highest threshold for the value of  $k = t_l$ . The selected  $\mathbb{K} = \sum_{w \in [0, l]} t_w$  participants are labeled  $\{p_{selected_i}\}_1^{\mathbb{K}}$ . According to the  $AlignLen$  value,  $EmbSS_{p_{selected_i}}$  is obtained from the load image of the selected participant  $p_{selected_i}$  by obtaining the lower 2 bits of the pixel binary value and converted into a real number expression :

$$SS_{p_{selected_i}} = [\eta_1, \eta_2, \dots, \eta_m] \quad (14)$$

$p_{selected_i}$  of the shadow provided by the participant is the  $t_{x-1}$  order derivative of the  $m$  polynomial group according to the threshold value  $t_x$  corresponding to the level of the participant  $p_{selected_i}.ID$ . The result of the participant number  $p_{selected_i}.ID$ , ie:

$$SS_{p_{selected_i}} = \mathbb{F}^{t_x-1}(p_i.ID) = [\eta_1, \eta_2, \dots, \eta_m] \quad (15)$$

The pair of  $(p_{selected_i}.ID, [\eta_1, \eta_2, \dots, \eta_m])$  as the input, Reconstructing the polynomial group  $\{f_i(x)\}_1^m$  by using the Berkshire interpolation formula  $f_i(x) = \gamma_{i1} + \gamma_{i2}x + \dots + \gamma_{ik}x^{k-1}, i \in [1, m]$ . In this process, the covariance matrix of the polynomial group  $\{f_i(x)\}_1^m$  is determined by using the Birkhoff interpolation formula for the matching of the shadow secret of all participants.

$$\mathfrak{R} = (\gamma_{ij})_{m \times k} = \begin{bmatrix} \gamma_{11} & \cdots & \gamma_{1k} \\ \vdots & \ddots & \vdots \\ \gamma_{m1} & \cdots & \gamma_{mk} \end{bmatrix} \quad (16)$$

If all the secret information provided by all participants is correct,  $\mathfrak{R}^T \mathfrak{R}$  is reversible and the projection matrix of  $\mathfrak{R}$  is:

$$\mathbb{S}_{\mathfrak{R}} = \mathfrak{R}(\mathfrak{R}^T \mathfrak{R})^{-1} \mathfrak{R}^T = \begin{bmatrix} \mathbf{r}_{11} & \cdots & \mathbf{r}_{1k} \\ \vdots & \ddots & \vdots \\ \mathbf{r}_{m1} & \cdots & \mathbf{r}_{mk} \end{bmatrix} \quad (17)$$

The original image SI can be restored in conjunction with  $R_{SI}$  and the open matrix matrix  $R_{SI}$ , and  $s_{ij} = \frac{Dealer.id}{1-r_{ij}}$ . This paper defines the process as lemma 1 and makes the relevant proof.

**Lemma-1:** According to the projection matrix  $\mathbb{S}_{\mathfrak{R}}$ , the disclosed secret matrix  $R_{SI}$ , then the Dealer can recover the original image SI, where:

$$s_{ij} = \frac{Dealer.id}{1-r_{ij}}$$

**Proof:**

(1)The floating-point matrix  $SI_{Float}$  of the original image is restored according to the element  $r_{ij} = \mathbf{s}_{ij} \oplus \mathbf{s}_{ij}^{Float}$  of the open secret matrix  $R_{SI} = (r_{ij})_{(m \times m)}$ .

$$\mathbf{s}_{ij}^{Float} = \mathbf{s}_{ij} \oplus r_{ij} \quad (18)$$

(2)According to the properties of the Birkhoff interpolation theorem, the polynomial group is unique, and the projection matrix of the reconstructed polynomial coefficient matrix  $\mathfrak{R}$  is equal to the projection matrix of the distributed coefficient matrix  $B$ :

$$\mathbb{S}_{\mathfrak{R}} = \mathfrak{R}(\mathfrak{R}^T \mathfrak{R})^{-1} \mathfrak{R}^T = B(B^T B)^{-1} B^T = \mathbb{S}_B \quad (19)$$

the projection matrix  $\mathbb{S}_B$  of matrix  $B$  and the projection matrix of  $A$  are given by the definition of random matrix  $A$  in the distribution process, and is  $A^T A$  reversible and  $\mathbf{q}_i = \mathbf{q}_{i1}, \dots, \mathbf{q}_{ik}^T$ , ie:

$$\mathbb{S}_B = B(B^T B)^{-1} B^T = A(A^T A)^{-1} A^T = \mathbb{S}_A \quad (20)$$

Therefore, the projection matrix of the matrix  $A$  is equal to the projection matrix of the matrix  $R$ , that is,  $\mathbb{S}_A = (\mathbf{s}_{ij})_{m \times m} = \mathbb{S}_{\mathfrak{R}}$ , the corresponding elements are equal:

$$\mathbf{s}_{ij} = \mathbf{r}_{ij} \quad (21)$$

(3)According to the formula 18, 20:

$$s_{ij}^{Float} = s_{ij} \oplus r_{ij} = \mathbf{r}_{ij} \oplus r_{ij} \quad (22)$$

(4)According to the image floating-point matrix  $SI_{Float}$  elements of the formula 3, there  $s_{ij}^{Float} = \frac{s_{ij}}{Dealer.id + s_{ij}}$ , therefore:

$$s_{ij} = \frac{Dealer.id}{1 - s_{ij}^{Float}} \quad (23)$$

Combining Equation 22, the element values of the original image are:

$$s_{ij} = \frac{Dealer.id}{1 - \mathbf{r}_{ij} \oplus r_{ij}} \quad (24)$$

So to recover the original image SI, that is:

$$SI = (s_{ij})_{m \times m} = \begin{bmatrix} s_{11} & \cdots & s_{1m} \\ \vdots & \ddots & \vdots \\ s_{m1} & \cdots & s_{mm} \end{bmatrix} \quad (25)$$

## 5 Conclusion

In this paper, we propose a new hierarchical secret image sharing scheme based on Birkhoff interpolation and projection matrix method. In the secret sharing process, we use all polynomial coefficients to share the secret information, using the projection matrix method to avoid the polynomial coefficient leakage cited part of its secret is stolen. The proposed scheme makes full use of the complete random coefficient matrix of polynomials, strengthens the defensive of information leakage. Our scheme has the ability of defensive false data attack, recognizing the secret of the attacker's tamper information, defending collusion attack, The common model tries to maliciously restore the original secret behavior with defensive capabilities and has a good shadow image quality with information hiding capacity.

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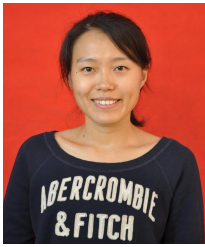


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